

**VIKALP  
SECURITIES LIMITED**

To,  
The Asst. General Manager,  
Department of Corporate Services  
The Bombay Stock Exchange, Mumbai  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai-400001

Date : 29/09/2022

Ref:- Scrip Code:- 531334

**SUB : SUBMISSION OF VOTING RESULT UNDER REGULATION 44(3) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENT ) REGULATION 2015.**

Dear Sir,

In terms of the provisions of Regulation 44(3) of the SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENT) Regulation 2015, please find enclosed herewith Voting Result of the 36<sup>th</sup> Annual General Meeting of the Company held on September 29, 2022 at 09:00 A.M. held at the registered office of the company 25/38, Karachi Khana, Kanpur-208001, Uttar Pradesh and concluded at 09.30 A.M. for transacting the business(s) as mentioned in the Notice dated August 13, 2022.

Please also find attached herewith combined Scrutinizer Report issued by Shri Adesh Tandon, Practicing Company Secretary dated 29/09/2022 in relation to the e-voting conducted by the company and the same be also be available on the company's website and [www.vikalpsecurities.com](http://www.vikalpsecurities.com) and will also be placed on the website of the NSDL.

Kindly take the same on your record and oblige.

For Vikalp Securities Limited

Arun Kejriwal  
Designation : Managing Director  
DIN : 00687890

**VOTING RESULT**

Resolution No.	Brief Of Resolution	Mode Of Voting (Show of Hands/Poll/ Postal Ballot/E-Voting)	Result Of Voting
1.	To receive, consider and adopt the Audited Standalone Financial Statements of the Company for the financial year ended 31st March, 2022 including audited Balance Sheet as at 31st March, 2022 and the Statement of Profit and Loss Account for the year ended on that date together with the reports of the Board of Directors ("the Board") and the Auditors thereon.	E-Voting/ Poll	Passed with absolute majority
2.	To appoint a Director in place of Mrs. Sonali Kejriwal (DIN: 07137327), who retires by rotation at this Annual General Meeting and being eligible has offered herself for re-appointment.	E-Voting/ Poll	Passed with absolute majority
3.	To appoint of Gupta and Shah, Chartered Accountants, Kanpur (Firm Registration No.001416C) as Statutory Auditors of the Company	E-Voting/ Poll	Passed with absolute majority
4.	To appoint Mr. Mohammad Faraz (DIN: 09484291), as an Independent Director.	E-Voting/ Poll	Passed with absolute majority

Kindly take the same on your record and oblige.

For Vikalp Securities Limited

Arun Kejriwal  
Designation : Managing Director  
DIN : 00687890

General information about company	
Scrip code	531334
NSE Symbol	
MSEI Symbol	
ISIN	INE186E01011
Name of the company	VIKALP SECURITIES LIMITED
Type of meeting	AGM
Date of the meeting / last day of receipt of postal ballot forms (in case of Postal Ballot)	29-09-2022
Start time of the meeting	09:00 AM
End time of the meeting	09:30 AM

Scrutinizer Details	
Name of the Scrutinizer	ADESH TANDON
Firms Name	ADESH TANDON AND ASSOCIATES
Qualification	CS
Membership Number	2253
Date of Board Meeting in which appointed	13-08-2022
Date of Issuance of Report to the company	29-09-2022

Voting results	
Record date	22-09-2022
Total number of shareholders on record date	2051
No. of shareholders present in the meeting either in person or through proxy	
a) Promoters and Promoter group	3
b) Public	19
No. of shareholders attended the meeting through video conferencing	
a) Promoters and Promoter group	0
b) Public	0
No. of resolution passed in the meeting	4
Disclosure of notes on voting results	

Resolution(1)								
Resolution required: (Ordinary / Special)			Ordinary					
Whether promoter/promoter group are interested in the agenda/resolution?			No					
Description of resolution considered			To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended 31st March, 2022 including audited Balance Sheet as at 31st March, 2022 and the Statement of Profit and Loss Account for the year ended on that date together with the reports of the Board of Directors ("the Board") and the Auditors thereon.					
Category	Mode of voting	No. of shares held	No. of votes polled	% of Votes polled on outstanding shares	No. of votes – in favour	No. of votes – against	% of votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	1456200	1456200	100	1456200	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	1456200	1456200	100	1456200	0	100	0
Public-Institutions	E-Voting	19609	7673	39.13	7673	0	100	0
	Poll		11936	60.87	11936	0	100	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	19609	19609	100	19609	0	100	0
Public-Non Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Total		1475809	1475809	100	1475809	0	100	0
Whether resolution is Pass or Not.							Yes	
Disclosure of notes on resolution								

Details of Invalid Votes	
Category	No. of Votes
Promoter and Promoter Group	
Public Insitutions	
Public - Non Insitutions	

Resolution(2)								
Resolution required: (Ordinary / Special)			Ordinary					
Whether promoter/promoter group are interested in the agenda/resolution?			No					
Description of resolution considered			To appoint a Director in place of Mrs. Sonali Kejriwal (DIN: 07137327), who retires by rotation at this Annual General Meeting and being eligible has offered herself for re-appointment.					
Category	Mode of voting	No. of shares held	No. of votes polled	% of Votes polled on outstanding shares	No. of votes – in favour	No. of votes – against	% of votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	1456200	1456200	100	1456200	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	1456200	1456200	100	1456200	0	100	0
Public- Institutions	E-Voting	19609	7673	39.13	7673	0	100	0
	Poll		11936	60.87	11936	0	100	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	19609	19609	100	19609	0	100	0
Public- Non Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Total		1475809	1475809	100	1475809	0	100	0
Whether resolution is Pass or Not.							Yes	
Disclosure of notes on resolution								



Details of Invalid Votes	
Category	No. of Votes
Promoter and Promoter Group	
Public Institutions	
Public - Non Institutions	

Resolution(3)								
Resolution required: (Ordinary / Special)				Ordinary				
Whether promoter/promoter group are interested in the agenda/resolution?				No				
Description of resolution considered				To appoint of Gupta and Shah, Chartered Accountants, Kanpur (Firm Registration No.001416C) as Statutory Auditors of the Company				
Category	Mode of voting	No. of shares held	No. of votes polled	% of Votes polled on outstanding shares	No. of votes – in favour	No. of votes – against	% of votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	1456200	1456200	100	1456200	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	1456200	1456200	100	1456200	0	100	0
Public- Institutions	E-Voting	19609	7673	39.13	7673	0	100	0
	Poll		11936	60.87	11936	0	100	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	19609	19609	100	19609	0	100	0
Public- Non Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Total		1475809	1475809	100	1475809	0	100	0
Whether resolution is Pass or Not.							Yes	
Disclosure of notes on resolution								

Details of Invalid Votes	
Category	No. of Votes
Promoter and Promoter Group	
Public Institutions	
Public - Non Institutions	

Resolution(4)								
Resolution required: (Ordinary / Special)				Special				
Whether promoter/promotor group are interested in the agenda/resolution?				No				
Description of resolution considered				To appoint Mr. Mohammad Faraz (DIN: 09484291), as an Independent Director.				
Category	Mode of voting	No. of shares held	No. of votes polled	% of Votes polled on outstanding shares	No. of votes – in favour	No. of votes – against	% of votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	1456200	1456200	100	1456200	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	1456200	1456200	100	1456200	0	100	0
Public-Institutions	E-Voting	19609	7673	39.13	7673	0	100	0
	Poll		11936	60.87	11936	0	100	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	19609	19609	100	19609	0	100	0
Public- Non Institutions	E-Voting	0	0	0	0	0	0	0
	Poll		0	0	0	0	0	0
	Postal Ballot (if applicable)		0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Total		1475809	1475809	100	1475809	0	100	0
Whether resolution is Pass or Not.							Yes	
Disclosure of notes on resolution								

Details of Invalid Votes	
Category	No. of Votes
Promoter and Promoter Group	
Public Insitutions	
Public - Non Insitutions	



P

# ADESH TANDON & ASSOCIATES

## COMPANY SECRETARIES

**Adesh Tandon**  
FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel. : 0512-2332397 • Mobile : +91-9839100709  
E-mail : adesh.tandon11@gmail.com

### CONSOLIDATED SCRUTINIZER'S REPORT

*[Pursuant to the provisions of Section 108 of the Companies Act, 2013 and Rule 20 of the Companies  
(Management & Administration) Rules, 2014, as amended]*

To,

The Chairman,

The 36<sup>th</sup> Annual General Meeting of the members of Vikalp Securities Limited ("the Company"), bearing CIN- L65993UP1986PLC007727 held on Thursday, 29<sup>th</sup> day of September 2022 at 09.00 A.M. at the registered office of the company at 25/28, Karachi Khana, Kanpur, Uttar Pradesh - 208001.

Dear Sir,

I, Adesh Tandon, Company Secretary in Practice, have been appointed as a "Scrutinizer" by the Board of Directors of Vikalp Securities Limited ("the Company") for the purpose of scrutinizing the process of remote e-voting and voting through Poll at the AGM, under the provisions of Section 108 and 109 of the Companies Act, 2013 (the Act) read with Rule 20 and 21 of the Companies (Management and Administration) Rules 2014 and amendments thereon on the resolutions contained in the Notice dated 13<sup>th</sup> August, 2022, calling the 36<sup>th</sup> Annual General Meeting ("Notice") convened on Thursday 29<sup>th</sup> September, 2022 at 09:00 A.M. IST at the registered office of the company at 25/28, Karachi Khana, Kanpur, Uttar Pradesh - 208001.

#### Management's Responsibility:

- The Management of the Company is responsible to ensure compliance with the requirements of (i) the Companies Act, 2013 and the Rules made thereunder; (ii) the MCA Circulars; and (iii) the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, ("LODR") relating to Remote e-voting and Poll on the resolutions contained in the Notice to the 36<sup>th</sup> AGM of the members of the Company. The Management of the Company is responsible for ensuring a secured framework and robustness of the Remote electronic voting systems and voting through Poll as well.



# ADESH TANDON & ASSOCIATES

## COMPANY SECRETARIES

**Adesh Tandon**

FOR M.D. & C. Co., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel.: 0512-2332397 • Mobile: +91-9839100709  
E-mail: adesh.tandon11@gmail.com

### Scrutinizer's Responsibility:

2. My responsibility as a scrutinizer for Remote e-voting process and voting through Poll at the AGM is restricted to making a Consolidated Scrutinizer's Report of the votes casted 'in favor' or 'against' the resolutions contained in the Notice, based on the reports generated from the Remote e-voting system provided by National Securities Depository Limited ('NSDL'), and the authorized agency engaged by the Company to provide voting facilities for remote e-voting and also based on the Poll conducted at the AGM.

### Authorised Agency:

3. The Company has engaged the services of National Securities Depository Limited ('NSDL'), as the Authorised Agency to provide secured system for remote e-voting process.

### Cut-off date:

4. The Shareholders of the Company holding shares as on the "cut-off" date (i.e. the record date) of Thursday, September 22<sup>nd</sup>, 2022, were entitled to vote on the Resolutions forming part of the Notice of the AGM.

### Remote e-voting:

5. The remote e-voting period began on Monday, September 26, 2022 at 09:00 AM (IST) and ended on Wednesday, September 28, 2022 at 05:00 PM (IST). The remote e-voting module was disabled by National Securities Depository Limited ('NSDL'), for voting thereafter.
6. The votes cast electronically were unblocked on September 29, 2022 around 09:40 A.M. in the presence of two witnesses, Ms. Aarzoo Singh R/o 124/651 "B" Block, Govind Nagar Kanpur- 208006 and Ms. Dharna Beri R/o 84/2, Site No. 1, Kidwai Nagar, Kanpur-208011, who are not in the employ of the Company and/or National Securities Depository Limited ('NSDL').



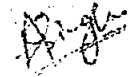


**ADESH TANDON & ASSOCIATES**  
**COMPANY SECRETARIES**

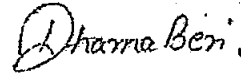
**Adesh Tandon**  
CS, I.L.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel. : 0512-2332397 • Mobile : +91-9839100709  
E-mail : adesh.tandon11@gmail.com

They have signed below in confirmation of the E-Votes being unblocked in their presence.



(Aarzoo Singh)



(Dharna Beri)

7. The Poll facility was provided to all the shareholders who attended the AGM to vote on the Resolutions as contained in the Notice of the 36<sup>th</sup> AGM but not to those shareholders who have opted the facility to vote through remote e-voting prior to the meeting.
8. Thereafter, the details containing, inter alia, the information about shareholders voting 'For' and 'Against' the resolutions, were generated from the E-Voting website of National Securities Depository Limited ('NSDL'), and based on such reports the results of Remote e-Voting and Poll at AGM on each resolution are given hereunder:

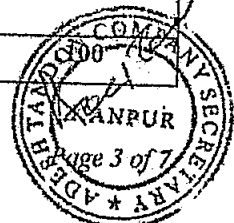
**Ordinary Business:**

**A) Resolution No.1: Ordinary Resolution**

To receive, consider and adopt the Audited Financial Statements of the Company for the financial year ended 31<sup>st</sup> March, 2022 including audited balance sheet as at 31<sup>st</sup> March 2022 and the Statement of Profit and Loss for the year ended on that date together with the Reports of Board of Directors ("the Board") and the Auditors thereon:

Voted in 'FAVOUR' of the resolution:

Number of Members voted through E-voting	Number of votes cast in 'Favour' of resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Favour" of resolution by Poll	Total Number Votes cast in "Favour" of resolution through e-voting and Poll	% of total number of valid votes cast
13	14,63,873	3	11,936	14,75,809	



# ADESH TANDON & ASSOCIATES

## COMPANY SECRETARIES

**Adesh Tandon**  
FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel. : 0512-2332397 • Mobile : +91-9839100709  
E-mail : adesh.tandon11@gmail.com

Voted 'AGAINST' the resolution:

Number of Members voted through E-voting	Number of votes cast 'against' resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Against" resolution by Poll	Total Number Votes cast in "against" resolution through e-voting and Poll	% of total number of valid votes cast
0	0	0	0	0	0

Voted INVALID: NIL

Note:

Result:

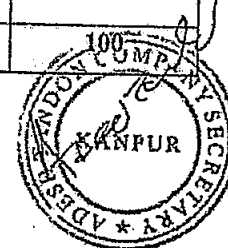
*As all the votes are cast in favour of the resolution as set out in the Notice as Item No. 1, therefore, the ordinary resolution has been passed with absolute majority.*

### B) Resolution No. 2: Ordinary Resolution

To appoint a Director in place of Mrs. Sonali Kejriwal (DIN: 07137327), who retires by rotation at this Annual General Meeting, and being eligible, offered herself for re-appointment:

Voted in 'FAVOUR' of the resolution:

Number of Members voted through E-voting	Number of votes cast in 'Favour' of resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Favour" of resolution by Poll	Total Number Votes cast in "Favour" of resolution through e-voting and Poll	% of total number of valid votes cast
13	14,63,873	3	11,936	14,75,809	100



# ADESH TANDON & ASSOCIATES

## COMPANY SECRETARIES

**Adesh Tandon**

FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel.: 0512-2332397 • Mobile: +91-9839100709  
E-mail: adesh.tandon11@gmail.com

Voted 'AGAINST' the resolution:

Number of Members voted through E-voting	Number of votes cast 'against' resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Against" resolution by Poll	Total Number Votes cast in "against" resolution through e-voting and Poll	% of total number of valid votes cast
0	0	0	0	0	0

Voted INVALID: NIL

Note:

Result:

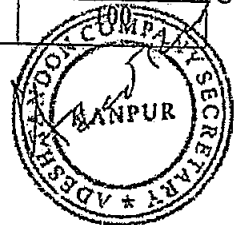
*As all the votes are cast in favour of the resolution as set out in the Notice as Item No. 2, therefore, the ordinary resolution has been passed with absolute majority.*

**C) Resolution No. 3: Ordinary Resolution**

To appoint Gupta and Shah, Chartered Accountants, Kanpur (Firm Registration No.001416C) as Statutory Auditors of the Company and to fix their remuneration:

Voted in 'FAVOUR' of the resolution:

Number of Members voted through E-voting	Number of votes cast in 'Favour' of resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Favour" of resolution by Poll	Total Number Votes cast in "Favour" of resolution through e-voting and Poll	% of total number of valid votes cast
13	14,63,873	3	11,936	14,75,809	



# ADESH TANDON & ASSOCIATES

## COMPANY SECRETARIES

**Adesh Tandon**  
FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel.: 0512-2332397 • Mobile: +91-9839100709  
E-mail: adesh.tandon11@gmail.com

Voted 'AGAINST' the resolution:

Number of Members voted through E-voting	Number of votes cast 'against' resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Against" resolution by Poll	Total Number Votes cast in "against" resolution through e-voting and Poll	% of total number of valid votes cast
0	0	0	0	0	0

Voted INVALID: NIL

Note:

**Result:**

*As all the votes are cast in favour of the resolution as set out in the Notice as Item No. 3, therefore, the ordinary resolution has been passed with absolute majority.*

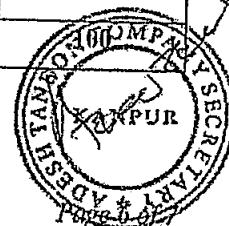
**SPECIAL BUSINESS:**

**D) Resolution No. 4: Special Resolution**

**To appoint Mr. Mohammad Faraz (DIN: 09484291) as an Independent Director:**

Voted in 'FAVOUR' of the resolution:

Number of Members voted through E-voting	Number of votes cast in 'Favour' of resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Favour" of resolution by Poll	Total Number Votes cast in "Favour" of resolution through e-voting and Poll	% of total number of valid votes cast
13	14,63,873	3	11,936	14,75,809	



# ADESH TANDON & ASSOCIATES

## COMPANY SECRETARIES

**Adesh Tandon**  
FCS, LL.B., B.Com., AAIMA

811, 8th Floor, KAN Chambers,  
14/113, Civil Lines, Kanpur - 208 001 (U.P.)  
Tel.: 0512-2332397 • Mobile: +91-9839100709  
E-mail: adesh.tandon11@gmail.com

Voted 'AGAINST' the resolution:

Number of Members voted through E-voting	Number of votes cast 'against' resolution by e-voting	Number of Members/ Proxies Voted by Poll	Number of votes cast in "Against" resolution by Poll	Total Number Votes cast in "against" resolution through e-voting and Poll	% of total number of valid votes cast
0	0	0	0	0	0

Voted INVALID: NIL

Note:

Result:

*As all the votes are cast in favour of the resolution as set out in the Notice as Item No. 4, therefore, the Special resolution has been passed with absolute majority.*

9. The poll papers and all other relevant records were sealed and are being handed over to the Company Secretary authorized by the Board for safe keeping.

For Adesh Tandon & Associates  
Company Secretaries

For ADESH TANDON & ASSOCIATES  
COMPANY SECRETARIES

PROPRIETOR  
C.P. No. 1121

(Adesh Tandon)  
Proprietor  
FCS No. 2253  
C.P. No. 1121

Peer Reviewed Unit: 741/2020

UDIN: F002253D001076007

Date: 29.09.2022

Place: Kanpur