| | Introductory sub-table (I)(a) | | |
|---|-------------------------------|---|---|
| NAME OF COMPANY: VIKALP SECURITIES LIMITED | | | |
| SCRIP CODE: 531334, NAME OF SCRIP: VIKALPS, CLASS OF SECURITY: EQUITY SHARES | | | |
| QUARTER ENDED : 31ST OF MARCH, 2015 | | | |
| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
| Held by promoter/promoter group | 0 | 0 | 0 |
| Held by public | 0 | 0 | 0 |
| | | | 1 |
| Total | 0 | 0 | 0 |
| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company assuming full conversion of the convertible securities |
| Held by promoter/promoter group | 0 | 0.00 | 0.00 |
| Held by public | 0 | 0.00 | 0.00 |
| | | | |
| Total | 0 | 0.00 | 0.00 |
| Warrants :- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company assuming full conversion of warrants |
| Held by promoter/promoter group | 0 | 0.00 | 0.00 |
| Held by public | 0 | 0.00 | 0.00 |
| Total | 0 | 0.00 | 0.00 |
| Total paid-up capital of the company assuming full conversion of warrants and | | 3051900 | • |

| | Statement Sho | owing Shareholding Pattern | of Vikalp Securities Limi | ted for Quarter ended o | on 31st March, 201 | 5 | | |
|------------------|---|----------------------------|---------------------------|--|------------------------------|--------------------------------------|--|-----------------------|
| Table (I)(a) | | | | | | | | |
| Category code | Category of Shareholder | Number of Shareholders | Total number of shares | Number of shares held in dematerialized form | | s a percentage of total of shares | Shares Pledged or otherwise encumbered | |
| | | | | | As a percentage of $(A+B)^1$ | As a percentage of (A+B+C) | Number of shares | As a percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 |
| (A) | Promoter & Promoter group | | | | | | 1 | |
| 1 | Indian | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | 6 | 1456200 | 1456200 | 47.71 | 47.71 | 0 | 0.00 |
| (b) | Central Government/ State Government(s) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (c) | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (d) | Financial Institutions/ Banks | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (e) | Any Others(Specify) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| | Sub Total(A)(1) | 6 | 1456200 | 1456200 | 47.71 | 47.71 | 0 | 0.00 |
| 2 | Foreign | | | | | | | |
| a | Individuals (Non-Residents Individuals/ Foreign Individuals) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| b | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| С | Institutions | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| d | Any Others(Specify) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| | Sub Total(A)(2) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| | Total Shareholding of Promoter and Promoter Group $(A)=(A)(1)+(A)(2)$ | 6 | 1456200 | 1456200 | 47.71 | 47.71 | 0 | 0.00 |
| (B) | Public shareholding | | | | | | N.A. | N.A. |
| 1 | Institutions | | | | | | N.A. | N.A. |
| (a) | Mutual Funds/ UTI | 0 | 0 | 0 | 0.00 | 0.00 | - | - |
| (b) | Financial Institutions / Banks | 0 | 0 | 0 | 0.00 | 0.00 | - | - |
| (c) (d) | Central Government/ State Government(s) Venture Capital Funds | 0 | 0 | 0 | 0.00 | 0.00 | - | - |
| (e) | Insurance Companies | 0 | 0 | 0 | 0.00 | 0.00 | - | - |
| (f) | Foreign Institutional Investors | 0 | 0 | 0 | 0.00 | 0.00 | - | - |
| (g) (h) | Foreign Venture Capital Investors Any Other (specify) | 0 | 0 | 0 | 0.00 | 0.00 | - | - |
| (II) | | v | v | U | 0.00 | 0.00 | | |
| | Sub-Total (B)(1) | 0 | 0 | 0 | 0.00 | 0.00 | - | - |
| 2 | Non-institutions Pading Comments | 10 | 40/2/0 | 2002/0 | 12.21 | 12.21 | N.A. | N.A. |
| (a) (b) | Bodies Corporate Individuals | 19 | 406260 | 288360 | 13.31 | 13.31 | - | - |
| | (i) Individual shareholders holding nominal share capital up to Rs 1 lakh | 1474 | 936985 | 242185 | 30.70 | 30.70 | - | - |
| | (ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | 13 | 240390 | 148890 | 7.88 | 7.88 | - | - |
| (c) (c-i) | NRI (REPT & NON-REPT) Hindu Undivided family | 1 4 | 2000 10065 | 0 10065 | 0.07 0.33 | 0.07 0.33 | - | - |
| X / | Sub-Total (B)(2) | 1511 | 1595700 | 689500 | 52.29 | 52.29 | - | - |
| | Sub-Total (B)(2) | 1311 | 1373700 | 007300 | 32.27 | 32,27 | - | - |
| (B) | Total Public Shareholding (B)=(B)(1)+(B)(2) | 1511 | 1595700 | 689500 | 52.29 | 52.29 | - | - |
| | TOTAL(A) + (B) | 1517 | 3051900 | 2145700 | 100.00 | 100.00 | - | - |
| | | | | | | | | |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | N.A. | N.A. |
| 1 | Promoter and Promoter Group | 0 | 0 | 0 | 0 | 0.00 | - | - |
| 2 | Public Sub-Total (C) | 0 | 0 | 0 | 0 | 0.00 | - | - |
| | Sub-Total (C) | U | <u> </u> | U | U | Ψ | - | - |

GRAND TOTAL (A)+(B)+(C)

| | | Details of | Details of shares held | | Encumbered Shares (*) | s (*) | | Details of warrants | | Details of convertible securities | |
|---------|-------------------------|------------------|-------------------------------------|--------|------------------------------|---|-------------------------------|---|---------------------------------------|--|--|
| Sr. No. | Name of the shareholder | Number of shares | As a % of grand total (A) +(B) +(C) | Number | As a percentage | As a % of grand total (A)+(B)+(C) of sub- clause (I)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
| (I) | (II) | (III) | (IV) | (V) | (VI)=(V)/(III)*100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | Arun Kejriwal | 104612 | 3.43 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 3.43 |
| 2 | Arun Kejriwal | 141500 | 4.64 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 4.64 |
| 3 | Nisha Kejriwal | 830988 | 27.23 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 27.23 |
| 4 | Krishnaditya Kejriwal | 179100 | 5.87 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 5.87 |
| 5 | Sonam Kejriwal | 100000 | 3.28 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 3.28 |
| 6 | Sonali Kejriwal | 100000 | 3.28 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 3.28 |
| TOTAL | | 1456200 | 47.71 | 0 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 47.71 |

| (I)(c)(i) | Statement showing holding of securities (including shares, war | rants, convertible securities) of persons belo | nging to the category "Public" and holding mo | ore than 1% of the to | tal number of shar | res | | |
|-----------|--|--|--|----------------------------|--|---|--|-------|
| Sr. No. | | | | Details of v | Details of convertible securities | | | |
| | Name of the shareholder | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities of the same class shares assuming full of warrants and convertible warrants and convertible securities of diluted shares assuming full of the same of the same securities of | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital | |
| 1 | THE MANWANI EXPORTS & CONSTRUCTION P LTD. | 115300 | 3.78 | 0 | 0 | 0 | 0 | 3.78 |
| 2 | AYODHYA VINIMAY PVT. LTD. | 93969 | 3.08 | 0 | 0 | 0 | 0 | 3.08 |
| 3 | SPFL SECURITIES LTD. | 79751 | 2.61 | 0 | 0 | 0 | 0 | 2.61 |
| 4 | PRABHAT FINANCIAL SERVICES LIMITED | 45550 | 1.49 | 0 | 0 | 0 | 0 | 1.49 |
| 5 | GLOBE CAPITAL MARKET LTD. | 37300 | 1.22 | 0 | 0 | 0 | 0 | 1.22 |
| TOTAL | | 371870 | 12.18 | 0.00 | 0.00 | 0.00 | 0.00 | 12.18 |

| (I)(c)(ii) | Statement showing holding of securities | s (including shares, warrants, c | convertible securities) of persons (together with PAC) belong | ing to the category "F | 'ublic'' and holding m | ore than 5% of t | he total Number of sha | res of the company |
|--------------|---|----------------------------------|--|------------------------|---|---|--|---|
| Sr. No. | | | | Details o | f warrants | Details of co | onvertible securities | |
| | Name of the shareholder(s) and Persons Acting in Concert (PAC) with them | Number of chores | Shares as a percentage of total number of shares (i.e.,grand total (A)+(B)+(C) indicated in statement at para (I)(a)above) | Number of warrants | As a % total Number of warrants of the same class | Number of Convertible securities held | % w.r.t total number of convertible securities of the same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital |
| 1 | NIL | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 |
| TOTAL | | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 |

| (I)(d) | Statement showing details of locked-in shares | ement showing details of locked-in shares | | | | | | | |
|--------------------------|---|---|--|--|--|--|--|--|--|
| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | | | | | | |
| | NIL | 0 | 0.00 | | | | | | |
| | | | | | | | | | |
| TOTAL | | 0 | 0.00 | | | | | | |

| $(\Pi)(a)$ | Statement showing details of Depository Receipts (DRs) | | | | | | |
|------------|--|------------------------------|--|---|--|--|--|
| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | | | |
| 1 | NIL | 0 | 0 | 0.00 | | | |
| | | | | | | | |
| TOTAL | | 0 | 0 | 0.00 | | | |

| (II)(b) | tement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares | | | | | | | | |
|---------|--|---|--|---|--|--|--|--|--|
| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | | | | | |
| 1 | NIL | 0 | 0 | 0.00 | | | | | |
| | | | | | | | | | |
| TOTAL | | 0 | 0 | 0.00 | | | | | |