

ANNEXURE 1

FormNo.MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31st of March, 2021

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

I	CIN:	L65993UP1986PLC007727
ii)	Registration Date	28/02/1986
iii)	Name of the Company	VIKALP SECURITIES LIMITED
iv)	Category/Sub-Category of the Company	PUBLIC COMPANY /LIMITED BY SHARES
v)	Address of the Registered office and contact details	25/38, KARACHI KHANA, KANPUR-208001, UTTAR PRADESH CONTACT NUMBER : 0512-2372665, EMAIL-ID: vikalpsecuritieslimited@gmail.com , WEBSITE : www.vikalpsecurities.com
vi)	Whether listed company	Yes
vii)	Name, Address and Contact details of Registrar and Transfer Agent	SKYLINE FINANCIAL SERVICES PRIVATE LIMITED D-153A, 1 ST FLOOR, OKHLA INDUSTRIAL AREA, PHASE-1, NEW DELHI-110020, CONTACT NUMBER : 011-26812682, 83 EMAIL ID : info@skylinerta.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl.No.	Name and Description of main products/ services	NIC Code of the Product/ service	% total turnover of the company
1.	Investment in Shares	No specific code is specified in NIC 2008 Classification List	Negligible
2	Financing business	64920 as per NIC 2008 Classification List	Almost 100 %

		-	-	-	-	-	-	-	-	-
		-	-	-	-	-	-	-	-	-
		-	-	-	-	-	-	-	-	-
		-	-	-	-	-	-	-	-	-
(f)	Insurance Companies	-	-	-	-	-	-	-	-	-
(g)	FII/FPs									
(h)	Foreign Venture Capital Funds									
(i)	Any other (specify)	-	-	-	-	-	-	-	-	-
	Sub Total (B)(1)	-	-	-	-	-	-	-	-	-
2	NON- INSTITUTIONS									
(a)	Individuals									
(i)	Individual shareholders having Nominal share capital upto Rs. 2 Lacs	354031	707700	1061731	34.79	385307	706100	1091407	35.76	0.97 % Increase
(ii)	Individual shareholders having Nominal share capital in excess of Rs. 2 Lacs	167072	25600	192672	6.31	135037	25600	160637	5.26	1.05 % Decrease
(b)	NBFCs Registered with RBI									
(c)	Employee Trusts									
(d)	Overseas Depositories (Holding DRs) (Balancing Figure)									
(e)	Any other (Specity)									
(i)	Bodies Corporate	204677	117900	322577	10.57	211287	117900	329187	10.78	0.21 % Increase
(ii)	N.R.I (Repat & non-Repat)	-	2000	2000	0.07	-	2000	2000	0.07	NIL
(iii)	Hindu Undivided Family	16720	-	16720	0.55	11469	-	11469	0.37	0.18 % Decrease
(iv)	Trust	-	-	-	-					-
(v)	Clearing Members/House	-	-	-	-	1000	-	1000	0.03	0.03 % Increase
(vi)	Qualified Foreign Investor – Individual									
(vii)	Qualified Foreign Investor – Corporate									
(viii)	Beneficial Holders under MGT-4 and IEPF									
(ix)	others	-	-	-	-	-	-	-	-	-
	Sub- Total (B) (2)	742500	853200	1595700	52.29	744100	851600	1595700	52.29	NIL
(B)	Total Public	742500	853200	1595700	52.29	744100	851600	1595700	52.29	NIL

	Shareholding (B) = (B)(1) + (B)(2)									
	TOTAL (A) + (B)	2198700	853200	3051900	100	2200300	851600	3051900	100	NIL
(C)	SHARES HELD BY CUSTODIANS AND AGAINST WHICH DR HAVE BEEN ISSUED	-	-	-	-	-	-	-	-	-
	GRAND TOTAL (A) + (B) + (C)	2198700	853200	3051900	100	2200300	851600	3051900	100	NIL

(II) SHAREHOLDING OF PROMOTERS (INCLUDING PROMOTER GROUP)

S.No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	% change in share holding during the year
1	ARUN KEJRIWAL	1077100	35.29	-	1077100	35.29	-	NIL
3	NISHA KEJRIWAL	0	0	-	0	0	-	NIL
4	SONAM KEJRIWAL	100000	3.28	-	100000	3.28	-	NIL
5	SONALI KEJRIWAL	100000	3.28	-	100000	3.28	-	NIL
6	KRISHNADITYA KEJRIWAL	179100	5.87	-	179100	5.87	-	NIL
	Total	1456200	47.71	-	1456200	47.71	-	NIL

(III) CHANGE IN PROMOTERS' SHAREHOLDING (PLEASE SPECIFY, IF THERE IS NO CHANGE):

S. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of Shares	% of total shares of the company
	At the beginning of the year	1456200	47.71	1456200	47.71
	Date wise Increase/Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)	No Change	No Change	No Change	No Change
	At the end of the year	1456200	47.71	1456200	47.71

(IV) SHAREHOLDING PATTERN OF TOP TEN SHAREHOLDERS (OTHER THAN DIRECTORS, PROMOTERS AND HOLDERS OF GDRs AND ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Date wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ Decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.	Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company		No. of shares	% of total shares of the company
1.	THE MANWANI EXPORTS & CONSTRUCTION PVT. LTD.	115300	3.78	NIL	115300	3.78
	At the End of the year (or on the date of separation, if separated during the year)				115300	3.78
2.	AYODHYA VINIMAY PVT. LTD.	93969	3.08	NIL	93969	3.08
	At the End of the year (or on the date of separation, if separated during the year)				93969	3.08
3.	ACHINTYA SECURITIES PRIVATE LIMITED	-	-	-	37300	1.22
	At the End of the year (or on the date of separation, if separated during the year)				37300	1.22
4.	G. L. SHARMA STOCK BROKERS (P) LIMITED	30000	0.98	NIL	30000	0.98
	At the End of the year (or on the date of separation, if separated during the year)				30000	0.98
5.	VAIBHAV AGARWAL	31747	1.04	NIL	31747	1.04
	At the End of the year (or on the date of separation, if separated during the year)				31747	1.04

6.	KPK FIN CONSULTANCY PRIVATE LIMITED	19284	0.63	-	19284	0.63
	At the End of the year(or on the date of separation ,if separated during the year)				19284	0.63
7.	RAJESH BIHANI HUF	5300	0.17	-	5300	0.17
	At the End of the year(or on the date of separation ,if separated during the year)				5300	0.17
8.	PRABHAT FINANCIAL SERVICES LIMITED - CLIENT ACCOUNT	8500	0.27	-	8500	0.27
	At the End of the year(or on the date of separation ,if separated during the year)	-	-	-	8500	0.27
9.	SAGARIKA INFRASTRUCTURE PRIVATE LIMITED	500	0.01	-	7500	0.24
	At the End of the year(or on the date of separation ,if separated during the year)	-	-	-	7500	0.24
10.	MALAY INVESTMENT & FINANCIAL SERVICES PRIVATE LIMITED	6850	0.244	-	6850	0.22
	At the End of the year(or on the date of separation ,if separated during the year)	-	-	-	6850	0.22

(V)SHAREHOLDINGOFDIRECTORSANDKEYMANAGERIALPERSONNEL:

S.No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total Shares of the Company	No. of shares	% of total Shares of the Company
A	Mr. ArunKejriwal				
1	At the beginning of the year	10,77,100	35.29	10,77,100	35.29
2	Date wise Increase/decrease in Shareholding during the year specifying the reasons for increase/decrease (eg. Allotment/transfer/bonus/sweat equity etc.)	NIL	NIL	NIL	NIL
3	At the end of the year	10,77,100	35.29	10,77,100	35.29

B	Ms. SonaliKejriwal				
1	At the beginning of the year	1,00,000	3.28	1,00,000	3.28
2	Date wise Increase/decrease in Shareholding during the year specifying the reasons for increase/decrease (eg. Allotment/transfer/bonus/sweat equity etc.)	NIL	NIL	NIL	NIL
3	At the end of the year	1,00,000	3.28	1,00,000	3.28

VI. INDEBTEDNESS

INDEBTEDNESS OF THE COMPANY INCLUDING INTEREST OUTSTANDING/ACCRUED BUT NOT DUE FOR PAYMENT

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year (i) Principal Amount (ii) Interest due but not paid (iii) Interest accrued but not due	NIL	Rs. 2,51,739.00	NIL	Rs. 2,51,739.00
Total(i+ii+iii)	NIL	Rs. 2,51,739.00	NIL	Rs. 2,51,739.00
Change in Indebtedness during the financial year · Addition · Reduction	NIL	(1,51,511.00)	NIL	(1,51,511.00)
Net Change	NIL	(1,51,511.00)	NIL	(1,51,511.00)
Indebtedness at the end of the financial year (i) Principal Amount (ii) Interest due but not paid (iii) Interest accrued but not due	NIL	1,00,228.00	NIL	1,00,228.00
Total s(i+ii+iii)	NIL	1,00,228.00	NIL	1,00,228.00

VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: (in Rs.)

Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager (Per Annum)	Total Amount (Per Annum)

		Shri Arun Kejriwal		
B. R e m u n e r a t i o n o f t h e r	1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Rs. 1,92,000	Rs. 1,92,000
	2.	Stock Option	NIL	NIL
	3.	Sweat Equity	NIL	NIL
	4.	Commission - as % of profit - others, specify...	NIL	NIL
	5.	Others, please specify	-	-
	o	Total (A)	Rs. 1,92,000	Rs. 1,92,000
t	Ceiling as per the Act	Ceiling is Rs. 60 Lakhs as per Section II of Part II of Schedule V of the Companies Act, 2013		

directors: (in Rs.)

Sl. no.	Particulars of Remuneration	Name of Directors	Total Amount
1.	Independent Directors · Fee for attending Board Committee Meetings · Commission · Others, please specify	NIL	NIL
Total (1)		NIL	NIL
2.	Other Non-Executive Directors · Fee for attending Board Committee Meetings · Commission · Others, please specify	NIL	NIL
Total (2)		NIL	NIL
Total (B) = (1+2)		NIL	NIL
Total Managerial Remuneration		NIL	NIL
Overall Ceiling as per the Act		Being Rs. 1 Lac per Director per meeting as per Section 197(5) and all other applicable provisions, if any, of The Companies Act, 2013 and the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014	

**A. REMUNERATION PAID
MD/MANAGER/WTD (in Rs.)**

TO KEY MANAGERIAL PERSONNEL OTHER THAN

Sl. no.	Particulars of Remuneration	Key Managerial Personnel	
		Company Secretary (P.A.)	Total
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Rs. 1,80,000 NIL	Rs. 1,80,000 NIL
2.	Stock Option	NIL	NIL
3.	Sweat Equity	NIL	NIL
4.	Commission - as % of profit - others, specify	NIL	NIL
5.	Others, please specify	NIL	NIL
	Total	Rs. 1,80,000	Rs. 1,80,000

VIII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

TYPE	SECTION OF THE COMPANIES ACT	BRIEF DESCRIPTION	DETAILS OF PENALTY/PUNISHMENT/COMPUN-DING) FEES IMPOSED	AUTHORITY (RD/NCLT/COURT)	APPEAL MADE, IF ANY (GIVE DETAILS)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B.DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-