

## **VIKALP SECURITIES LIMITED**

### **VIGIL MECHANISM**

The company has adopted a “**Vigil Mechanism**” for directors and employees of the company to report their genuine concern for any unethical conduct or malpractice, violation of code of conduct observed by them in the company. Vigil mechanism adopted by the company is applicable on all the employees and directors and senior management of the company and it encourages reporting of any kind of fraud, malpractice, wrongful conduct, unethical behavior, any unlawful act, breach of duty and other types of wrongful acts done in the company which is a threat to the company and its people.

The company has designated the compliance officer of the company for receiving and handling the complaints received regarding any unethical acts. Besides that individuals can directly access the Chairman of the Audit Committee, if they have any complaint regarding violation of company’s code of conduct or other kind of unethical conduct, etc..

The company shall provide proper safeguard to the person who will come with his genuine concern or complaints and no adverse action shall be taken against such person and the confidentiality of such complaint shall be maintained in order to protect the complainant.