

VIKALP SECURITIES LIMITED			
REG. OFFICE : 25/38, KARACHI KHANA, KANPUR - 208001			
Name of the Company: M/s Vikalp Securities Limited			
Scrip Code : 531334, Class of security : Equity Shares			
Quarter ended : 30th September, 2013			
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total (A+B+C) + (D+E+F))	0	0	0

Statement Showing Shareholding Pattern of Vikalp Securities Limited for Quarter ended on 30th September, 2013 [Scrip Code 531334]								
Table (I)(a)								
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix) = (viii)/(vii)*100
(A)	Promoter & Promoters group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	6	1456200	1456200	47.71	47.71	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)								
(e-ii)								
(e-iii)								
	Sub Total (A1)	6	1456200	1456200	47.71	47.71	0	0.00
2	Foreign							
(a)	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00
(d-i)								
(d-ii)								
	Sub Total (A2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A) = (A1)+(A2)	6	1456200	1456200	47.71	47.71	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (Specify)	0	0	0	0.00	0.00	0	0.00
(h-i)								
(h-ii)								
	Sub Total (B1)	0	0	0	0.00	0.00	0	0.00
2	Non institutions							
(a)	Bodies Corporate	19	413670	295770	13.55	13.55	0	0.00
(b)	Individuals							
(i)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	1465	918100	210400	30.08	30.08	0	0.00
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	14	251865	160365	8.25	8.25	0	0.00
(c)	Any Other (HUF)	4	10065	10065	0.33	0.33	0	0.00
(d)	NRI	1	2000	0	0.07	0.07	0	0.00
(d-i)								
(d-ii)								
	Sub Total (B2)	1503	1595700	676600	52.29	52.29	0	0.00
	Total Public Shareholding (B) = (B1) + (B2)	1503	1595700	676600	52.29	52.29	0	0.00
	TOTAL (A)+(B)	1509	3051900	2132800	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
(a)	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
(b)	Public	0	0	0	0	0.00	0	0.00
	Sub Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	1509	3051900	2132800	100	100.00	0	0.00

(I)(b)											
Statement showing Shareholding (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"											
Sr. No.	Name of the shareholder	Total shares held		Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of grand total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-class (I)(c)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Anant Karkwal	246112	8.07	0	0.00	0.00	0	0	0	0	8.07
2	Nisha Karkwal	830988	27.23	0	0.00	0.00	0	0	0	0	27.23
3	Krishnaaditya Karkwal	179100	5.87	0	0.00	0.00	0	0	0	0	5.87
4	Sonam Karkwal	100000	3.28	0	0.00	0.00	0	0	0	0	3.28
5	Sonal Karkwal	100000	3.28	0	0.00	0.00	0	0	0	0	3.28
TOTAL		1456200	47.71	0	0.00	0.00	0.00	0.00	0.00	0.00	47.71

(I)(c)(ii)								
Statement showing Shareholding (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	The Manwani Exports and Construction P. Ltd.	115300	3.78	0	0	0	0	3.78
2	Ayodhya Vinimay Pvt. Ltd.	93969	3.08	0	0	0	0	3.08
3	SPFL Securities Limited	79776	2.61	0	0	0	0	2.61
4	Prabhat Financial Services Limited	45550	1.49	0	0	0	0	1.49
5	Achimya Securities Pvt. Ltd.	37300	1.22	0	0	0	0	1.22
TOTAL		371895	12.19	0.00	0.00	0.00	0.00	12.19

(I)(c)(iii)								
Statement showing Shareholding (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr. No.	Name of the shareholder and Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., grand total (A)+(B)+(C) indicated in statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
TOTAL		0	0	0.00	0	0	0	0

(I)(d)				
Statement showing details of locked-in shares				
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	
1	Anant Karkwal	0	0.00	
2	Shahin Karkwal	0	0.00	
3	Nisha Karkwal	0	0.00	
4	Krishnaaditya Karkwal	0	0.00	
5	Sonam Karkwal	0	0.00	
6	Sonal Karkwal	0	0.00	
TOTAL		0	0.00	

(II)(a)				
Statement showing details of Depository Receipts (DRs)				
Sr. No.	Type of outstanding DR (ADR, GDR, SDR, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	NIL	0	0	0.00
TOTAL		0	0	0.00

(II)(b)				
Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADR, GDR, SDR, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	NIL	0	0	0.00
TOTAL		0	0	0.00