

VIKALP SECURITIES LIMITED			
REG. OFFICE : 25/38, KARACHI KHANA, KANPUR - 208001			
CIN : L65993UP1986PLC007727 ; CONTACT NO. : 0512-2372665			
EMAIL ID : vikalpsecuritieslimited@gmail.com ; WEBSITE : www.vikalpsecurities.com			
Introductory sub-table (I)(a)			
Name of the Company: M/s Vikalp Securities Limited			
Scrip Code : 531334, Class of security: Equity Shares			
Quarter ended: 30th September, 2014			
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total (A+B+C)+(D+E+F) J)	0	0	0

Statement Showing Shareholding Pattern of Vikalp Securities Limited for quarter ended on 30th September, 2014 (Scrip Code 531334)								
Table I(I)(a)								
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Promoter & Promoters group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	6	1456200	1456200	47.71	47.71	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00
(e-ii)								
	Sub-Total (A)(1)	6	1456200	1456200	47.71	47.71	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00
(d-i)								
(d-ii)								
	Sub-Total (A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group = (A)(1)+(A)(2)	6	1456200	1456200	47.71	47.71	0	0.00
(B)	Public Shareholding							
1	Institutions							
(a)	Mutual Funds/UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (Specify)	0	0	0	0.00	0.00	0	0.00
(h-i)								
(h-ii)								
	Sub-Total (B)(1)	0	0	0	0.00	0.00	0	0.00
2	Non-Institutions							
(a)	Bodies Corporate	20	412802	294902	13.53	13.53	0	0.00
(b)	Individuals							
i	Individuals - Individual shareholders holding nominal share capital up to Rs 1 lakh.	1470	916143	217243	30.02	30.02	0	0.00
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	14	254690	163190	8.35	8.35	0	0.00
(c)	Non-Resident Indians	1	2000	0	0.07	0.07	0	0.00
(c-i)	Hindu Undivided Family	1	10065	10065	0.33	0.33	0	0.00
(c-ii)	Clearing members/ House	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(2)	1509	1595700	685400	52.29	52.29	0	0.00
	Total Public Shareholding (B)(1)+(B)(2)	1509	1595700	685400	52.29	52.29	0	0.00
(B)	Total Public Shareholding (B)(1)+(B)(2)	1509	1595700	685400	52.29	52.29	0	0.00
(C)	TOTAL (A)+(B)	1515	3051900	2141600	100.00	100.00	0	0.00
	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0.00
	Sub-Total (C)	0	0	0	0	0.00	0	0.00
	GRAND TOTAL (A)+(B)+(C)	1515	3051900	2141600	100	100.00	0	0.00

(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group" (Including shares, warrants, convertible securities)											
Sr. No.	Name of the shareholder	Total shares held		Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of grand total (A) +(B) +(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Arun Kejriwal	154612	3.43	0	0.00	0.00	0	0	0	0	3.43
2	Arun Kejriwal	141500	4.04	0	0.00	0.00	0	0	0	0	4.04
3	Neha Kejriwal	830988	27.23	0	0.00	0.00	0	0	0	0	27.23
4	Krishnaditya Kejriwal	179100	5.87	0	0.00	0.00	0	0	0	0	5.87
5	Sonam Kejriwal	100000	3.28	0	0.00	0.00	0	0	0	0	3.28
6	Sonal Kejriwal	100000	3.28	0	0.00	0.00	0	0	0	0	3.28
TOTAL		1456200	47.71	0	0.00	0.00	0.00	0.00	0.00	0.00	47.71

(I)(c)(i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares (including shares, warrants, convertible securities)								
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(b) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	The Marwadi Exports and Construction P Ltd	115300	3.78	0	0	0	0	3.78
2	Ayodhya Vinmay Pvt. Ltd	93969	3.08	0	0	0	0	3.08
3	SPFL Securities Limited	79751	2.61	0	0	0	0	2.61
4	Prabhav Financial Services Limited	48350	1.59	0	0	0	0	1.59
5	Globe Capital Market Ltd.	37300	1.22	0	0	0	0	1.22
TOTAL		371870	12.19	0.00	0.00	0.00	0.00	12.19

(ii)(ii) Statement showing Shareholding of persons (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total Number of shares of the company.								
Sr. No.	Name of the shareholder and Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital
				Number of warrants	As a % total Number of warrants of the same class	Number of Convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Nil	0	0	0.00	0	0	0	0
TOTAL		0	0	0.00	0	0	0	0

(ii)(d) Statement showing details of locked-in shares			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1	Anun Kojrwal	0	0.00
2	Shalini Kojrwal	0	0.00
3	Neha Kojrwal	0	0.00
4	Keshavnandana Kojrwal	0	0.00
5	Souam Kojrwal	0	0.00
6	Somali Kojrwal	0	0.00
TOTAL		0	0.00

(ii)(a) Statement showing details of Depository Receipts (DRs)				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1	Nil	0	0	0.00
TOTAL	0	0	0	0.00

(ii)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 5% of the total number of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1	Nil	0	0	0.00
TOTAL		0	0	0.00