

Vikalp Securities Ltd.

VIKALP SECURITIES LIMITED

Registered Office : 25/38, Karachi Khana, Kanpur - 208001, Uttar Pradesh

CIN : L65993UP1986PLC007727 , CONTACT NO. : 0512 - 2372665

EMAIL ID : vikalpsecuritieslimited@gmail.com , WEBSITE : www.vikalpsecurities.com

NOTICE

NOTICE is hereby given that the 29th Annual General Meeting of the Members of Vikalp Securities Limited will be held on Wednesday, the 30th day of September, 2015 at 09:00 A.M at the registered office of the company at 25/38, Karachi Khana, Kanpur, Uttar Pradesh -208001 to transact the following businesses:

ORDINARY BUSINESS

1. To receive, consider and adopt the Audited Financial Statements of the Company for the year ended 31st March, 2015 including audited Balance Sheet as at 31st March, 2015 and the Statement of Profit and Loss Account for the year ended on that date together with the reports of the Board of Directors ("the Board") and the Auditors thereon.

2. To appoint a Director in place of Mr. Ashish Dixit (DIN: 03402551), who retires by rotation at this Annual General Meeting and being eligible has offered himself for re-appointment.

3. To appoint Auditors and fix their remuneration and in this regard to consider and if thought fit, to pass, with or without modification(s), the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of Section 139 and other applicable provisions, if any, of the Companies Act, 2013 read with Rule 3 of the Companies (Audit and Auditors) Rules, 2014 and pursuant to the recommendation of the Audit Committee of the Board of Directors, M/s Chaudhary Pandiya & Company, Chartered Accountants (Firm Registration No. 001903C), Kanpur, the retiring Auditors who have furnished the eligibility certificate under Section 141 of the Companies Act, 2013, be and are hereby re-appointed as the Statutory Auditors of the Company from the conclusion of this Annual General Meeting till the conclusion of 31st Annual General Meeting of the Company, subject to ratification of the appointment by the members at every Annual General Meeting of the Company, on such remuneration as shall be fixed by the Board of Directors of the Company."

SPECIAL BUSINESS:

4. To appoint Mr. Vinod Kumar Sharma (DIN:03399019) as an Independent Director and in this regard to consider and, if thought fit, to pass, with or without modification(s), following resolution as a Special Resolution:

"RESOLVED THAT pursuant to the provisions of section 149, 152 and the Rules framed there under read with Schedule IV and all other applicable provisions, if any, of the Companies Act, 2013 (including any statutory modification(s) or re-enactments) and Clause 49 of the Listing Agreement, Mr. Vinod Kumar Sharma (DIN: 03399019) a non-executive Independent Director who has submitted a declaration that he meets the criteria for Independence as provided in section 149 (6) of the Act and who is eligible for appointment, and in respect of whom the Company has received a notice in writing from a member under Section 160 of the Companies Act, 2013, proposing his candidature for the office of the Independent Director, be and is hereby appointed as Independent Director of the Company for a period of 5 years effective from 30th of September, 2015."

5. To appoint Ms. Sonali Kejriwal (DIN:07137327) as Director and in this regard to consider and, if thought fit, to pass, with or without modification(s), following resolutions an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of section 149, 152, 160 and the Rules framed there under and all other applicable provisions, if any, of the Companies Act, 2013 (including any statutory modification(s) or re-enactments) Ms. Sonali Kejriwal (DIN: 07137327), who was appointed as an Additional Director on the Board of Directors of the Company and who holds the office of director upto the date of this Annual General Meeting and being eligible for appointment as a Director and in respect of whom the Company has received a notice in writing

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from a member under Section 160 of the Companies Act, 2013, proposing its intention to propose her candidature for the office of Director, be and is hereby appointed as a director of the Company, liable to retire by rotation."

Place : Kanpur
Date : 21/08/2015

By Order of the Board
Arun Kejriwal
(Managing Director)

NOTES:

1. A MEMBER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY OR PROXIES TO ATTEND AND VOTE INSTEAD OF HIMSELF/HERSELF AND SUCH A PROXY/PROXIES NEED NOT BE A MEMBER OF THE COMPANY. THE INSTRUMENT APPOINTING PROXY, IN ORDER TO BE EFFECTIVE SHOULD BE DULY COMPLETED, STAMPED, SIGNED. A person can act as a proxy on behalf of members not exceeding fifty (50) and holding in the aggregate not more than 10% of the total share capital of the Company carrying voting rights. In case a proxy is proposed to be appointed by a member holding more than 10% of the total share capital of the Company carrying voting rights, then such proxy shall not act as a proxy for any other person or shareholder. The instrument appointing a proxy should, however, be deposited at the registered office of the Company not less than 48 hours before the commencement of the meeting. A blank proxy form is enclosed with this notice.

2. The Explanatory Statement pursuant to Section 102(1) of the Companies Act, 2013, setting out the material facts regarding the special business items mentioned in notice above and the details as required under Clause 49 of the Listing Agreement entered into with the stock exchanges in respect of Directors seeking reappointment is annexed hereto.

3. In accordance with provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, the business may be transacted through electronic voting system and the company is pleased to provide the facility for remote e-voting to its members. The Company has engaged National Securities Depositories Limited (NSDL) for providing Remote E-voting services through the e-Voting platform of NSDL. In this regard, your Demat Account/Folio Number has been enrolled by the company for your participation in voting on resolutions placed by company on e-Voting system. Remote E-voting is optional and not mandatory and Members desiring to opt for Remote E-voting are requested to read the instructions below:

Voting through Electronic Means

The process of login to e -Voting website is given below:

In case Member receives an email from NSDL (if members whose email IDs are registered with the Company/Depositories Participant)

The procedure to login to e-Voting website for new users is given below:

1. Open the attached PDF file "Vikalp Securities Limited_e-Voting.pdf" giving your Client ID (in case you are holding shares in demat mode) or Folio No. (in case you are holding shares in physical mode) as password, which contains your "User ID" and "Password for e-voting". Please note that the password is an initial password.
2. Launch internet browser by typing the URL <https://www.evoting.nsdl.com/>.
3. Click on "Shareholder - Login".
4. Put User ID and password as initial password noted in step (1) above and Click Login.
5. Password Change Menu appears. Change the password with new password of your choice with minimum 8 digits/characters or combination thereof. Note new password.
6. Home page of remote "e-Voting" opens. Click on e-Voting:-- Active Voting Cycles.
7. Select "EVEN" of Vikalp Securities Limited.
8. Now you are ready for "e-Voting" as "Cast Vote" page opens.
9. Cast your vote by selecting appropriate option and click on "Submit" and also "Confirm", when prompted.

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Members can cast their vote online from Sunday, September, 27, 2015 (9:00 A.M.) to Tuesday, September, 29, 2015 (5:00 P.M.). Kindly note that vote once casted cannot be modified. For EVEN, you can log-in any number of times on e-voting platform of NSDL till you have voted on all the resolutions or till the end date of voting period.

Note: e-Voting shall not be allowed beyond said time i.e. Tuesday, September, 29, 2015 (5:00 P.M.).

10. Institutional shareholders (i.e., other than Individuals, HUF, NRI etc.) are also required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/Authority Letter etc. together with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer through e-mail at kumarcs7@gmail.com with a copy marked to evoting@nsdl.co.in.

The procedure to login to e-Voting website for existing users is given below:

1. Launch internet browser by typing the URL <https://www.evoting.nsdl.com/>.
2. Click on "Shareholder - Login".
3. Put your existing User ID and password.
4. Home page of remote "e-Voting" opens. Click on e-Voting: Active Voting Cycles.
5. Select "EVEN" of Vikalp Securities Limited.
6. Now you are ready for "e-Voting" as "Cast Vote" page opens.
7. Cast your vote by selecting appropriate option and click on "Submit" and also "Confirm", when prompted.

Members can cast their vote online from Sunday, September, 27, 2015 (9:00 A.M.) to Tuesday, September, 29, 2015 (5:00 P.M.). Kindly note that vote once casted cannot be modified. For EVEN, you can log-in any number of times on e-voting platform of NSDL till you have voted all the resolutions or till the end date of voting period.

Note: e-Voting shall not be allowed beyond said time i.e. September, 29, 2015 (5:00 P.M.).

8. Institutional shareholders (i.e., other than Individuals, HUF, NRI etc.) are also required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/Authority Letter etc. together with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer through e-mail at kumarcs7@gmail.com with a copy marked to evoting@nsdl.co.in.

Please note that:

- It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential. Kindly note that Login to e-voting website will be disabled upon five unsuccessful attempts to key-in the correct password. In such an event, you will need to go through 'Forgot Password' option available on the site to reset the same.
- Your login id and password can be used by you exclusively for e-voting on the resolutions placed by the companies in which you are the shareholder.
- You can also update your mobile number and e-mail id in the user profile details of the folio, which may be used for sending future communication(s).
- In case of any queries, you may refer to the Frequently Asked Questions (FAQs) for members and e-voting user manual for members available at the Downloads sections of <https://www.evoting.nsdl.com> or contact NSDL at the following toll free no.: 1800-222-990. For any further grievance related to the Remote E-voting, members may contact NSDL at the following contact information:

Phone No. +91 22 24994600/24994738

Email ID: evoting@nsdl.co.in

Shareholders who have not registered their e-mail Id and will be receiving physical copy of the Notice of AGM:

- i) User ID and Password is provided at the remote e-voting sheet sent along with this Annual Report
- ii) Please follow all steps given for new users from Sl.No. (2) to Sl. No. (9) above, to cast vote.

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4. In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Shareholders and remote e-voting user manual for Shareholders available at the Downloads Section of www.evoting.nsdl.com.

5. If you are already registered with NSDL for e-voting then you can use your existing user ID and password/ PIN for casting your vote.

6. It may be noted that this remote e-voting facility is optional. The remote e-voting facility will be available at the link <https://www.evoting.nsdl.com> during the following voting period:

Commencement of remote e-voting: From 9:00 a.m. IST on 27th September, 2015

End of remote e-voting: Upto 5:00 p.m IST on 29th September, 2015

Remote e-Voting shall not be allowed beyond 5:00 p.m. of 29th September, 2015.

7. At the venue of Annual General Meeting, voting shall be allowed through ballot papers and the Members attending Annual General Meeting and who have not casted their vote by Remote E-voting shall be entitled to cast their vote through such Ballot Paper mode.

8. A person whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on Thursday, September 24, 2015 (the "Cut Off Date") only shall be entitled to vote through Remote E-voting and at the AGM. The voting rights of Members shall be in proportion to their share of the paid-up equity share capital of the Company as on the Cut Off date. A person who is not a member as on the cut-off date mentioned above should treat this Notice for information purposes only.

9. Persons who have acquired shares and became members of the Company after the dispatch of the notice of AGM and holding shares as on the Cut Off date of Thursday, September 24, 2015, may obtain their user ID and password for Remote E-voting from the Company's Registrar and Share Transfer Agent (RTA) or NSDL.

10. Please note that a Member may participate in the AGM even after exercising his right to vote through Remote E-voting but shall not be allowed to vote again at the venue of the AGM. If a Member casts votes through Remote E-voting and also at the AGM, then voting done through Remote E-voting shall prevail and voting done at the AGM shall be treated as invalid.

11. For proper conduct of the Annual General Meeting, Members/Proxies should fill the attendance slip for attending the Meeting. Members who hold share(s) in electronic form are requested to write their DP ID and Client ID number and those who hold share(s) in physical form are requested to write their folio number and put their sign, at the places provided on the attendance slip and hand it over at the entrance of the venue to facilitate identification of membership at the Meeting.

12. The Company has appointed Mr. Adesh Tandon, Practicing Company Secretary (Membership No. F2253 and Certificate of Practice No. 1121), as 'Scrutinizer' for conducting the remote e-voting process and for conducting poll at the Annual General Meeting in a fair and transparent manner.

13. The Scrutinizer shall make a combined Scrutinizer's Report of the votes cast in favour of or against, if any, to the Chairman of the Company.

14. The voting rights of shareholders shall be in proportion to their shares of the paid up equity share capital of the Company as on 24th September, 2015.

15. As soon as the scrutinizer submits its report to the company, the results declared along with the combined Scrutinizer's Report shall be displayed at the Registered Office of the Company and shall be placed on the website of the company and on the website of National Security Depositories Limited and will be communicated to all the Stock Exchanges where company is Listed.

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16. The Register of Directors' and Key Managerial Personnel and their shareholding maintained under Section 170 of the Companies Act, 2013, the Register of contracts or arrangements in which the Directors are interested under Section 189 of the Companies Act, 2013 will be available for inspection at Annual General Meeting.

17. The Notice of Annual General Meeting, Annual Report and Attendance Slip are being made available in electronic mode to Members whose email IDs are registered with the Company or Depository Participant(s) unless the Members have registered their request for a hard copy of the same. Physical copy of the Notice of Annual General Meeting, Annual Report and Attendance Slip are being sent to those Members who have not registered their e-mail IDs with the Company or Depository Participant(s). Members who have received the Notice of Annual General Meeting, Annual Report and Attendance Slip in electronic mode are requested to print the Attendance Slip and submit a duly filled in Attendance Slip at the registration counter to attend Annual General Meeting.

18. Corporate members intending to send their authorised representatives to attend the Meeting are requested to send to the Company a certified copy of the Board Resolution authorising their representative to attend and vote on their behalf at the Meeting.

19. In case of joint holders attending the Meeting, only such joint holder who is higher in the order of names will be entitled to vote.

20. The Register of Members and the Share Transfer Books of the Company shall remain closed from 29th September 2015 to 30th September 2015 (both days inclusive).

21. Members holding shares in electronic form are requested to intimate immediately any change in their address or bank mandates to their Depository Participants with whom they are maintaining their demat accounts. Members holding shares in physical form are requested to inform the change of their registered address to our Registrar and Transfer Agent (RTA), M/s Skyline Financial Services Private Limited having registered office at D-153 A, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi-110020 by quoting their folio number. The contact details of our Registrar and Transfer Agent are available on the company's website i.e. www.vikalpsecurities.com under investors tab.

22. No amount is lying with the company as contemplated in Section 205A of the Companies Act, 1956 or any other corresponding provision, if effective of the Companies Act, 2013. Hence question to transfer to the Investor Education and Protection Fund (IEPF Fund) does not arise.

23. Members desirous of obtaining any information with regard to the Annual Reports are requested to write to the Company's Registered Office at Kanpur at least ten days before the date of Annual General Meeting so that the information can be made available at the meeting.

24. Members are requested to quote their Registered Folio Number/Client ID No. & Depository Participant (D.P) ID number on all correspondence with the Company.

25. Non-Resident Indian members are requested to inform the Company's Registrar and Share Transfer Agent i.e. M/s Skyline Financial Services Private Limited, immediately of change in their residential status on return to India for permanent settlement together with the particulars of their bank Account maintained in India with complete name, branch, account type, account number and address of bank with Pin Code Number, if not furnished earlier.

26. All the documents referred to in the accompanying Notice and the Statement pursuant to Section 102(1) of the Companies Act, 2013, will be available for inspection at the Registered Office of the Company during business hours on all working days up to the date of declaration of the result of 29th Annual General Meeting of the Company.

27. Members who have not registered their e-mail addresses so far are requested to register their e-mail address for receiving all the communications including Annual Report, Notices, Circulars, etc. from the Company electronically. Members holding shares in physical form are requested to intimate their email addresses to the Company's Registrar and Share Transfer Agent i.e. M/s Skyline Financial Services Private Limited and members holding shares in electronic form are requested to intimate the change to their respective depository participant.

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28. The Securities and Exchange Board of India (SEBI) has mandated the submission of Permanent Account Number (PAN) by every participant in securities market. Members holding shares in electronic form are, therefore, requested to submit their PAN to their Depository Participants with whom they are maintaining their demat accounts. Members holding shares in physical form can submit their PAN details to the Company' Registrar and Share Transfer Agent i.e. M/s Skyline Financial Services Private Limited.

29. Shareholders who are at present holding shares in physical form are requested to convert their holding into dematerialized form in order to save themselves from the risk associated with holding shares in physical form like loss of share certificate etc.

30. For the convenience of members the route map of the venue of the meeting is depicted at the end of the Notice.

31. Members are requested to bring their copies of the Annual Report to the meeting.

Place : Kanpur
Date : 21/08/2015

By Order of the Board

Arun Kejriwal
Managing Director

EXPLANATORY STATEMENT UNDER SECTION 102(1) OF THE COMPANIES ACT, 2013 ("the Act")

ITEM NO. 4

Mr. Vinod Kumar Sharma is an Independent Director of the Company, who was liable to retire by rotation as per Companies Act, 1956. He was initially appointed by the Company as director of the company with effect from 1st of January, 2011.

At present, the Company is already in compliance of section 149(4) of the Companies Act, 2013 regarding the appointment of minimum prescribed Independent Directors in the Board of the Company, but in order to achieve the optimum combination of the Board of Directors of the Company as per amended clause 49 of the Listing Agreement, the Board of Directors of the Company proposes to re-appoint Mr. Vinod Kumar Sharma, as an Independent Director, not being liable to retire by rotation, with effect from 30th of September, 2015, to hold office for 5 (five) consecutive years. The Board of Directors of the Company has come to the decision of proposing Mr. Vinod Kumar Sharma as independent director for further 5 years, on the basis of his performance evaluation done by the Board as per the criteria formulated by the Nomination and Remuneration Committee of the Board and on finding his performance satisfactory and Board is of the view that his continuation with the company as Independent director would be beneficial for achieving heights and success.

Mr. Vinod Kumar Sharma is not disqualified from being appointed as Director in terms of Section 164 of the Companies Act, 2013 and has given his consent to act as Director.

The Company has also received declaration from Mr. Vinod Kumar Sharma that he meets with the criteria of independence as prescribed both under Sub-Section (6) of Section 149 of the Companies Act, 2013 and under Clause 49 of the Listing Agreement.

In the opinion of the Board, Mr. Vinod Kumar Sharma fulfills the conditions for appointment as Independent Director as specified in the Companies Act, 2013 and the Listing Agreement. Mr. Vinod Kumar Sharma is independent of the management.

Brief resume of Mr. Vinod Kumar Sharma, qualification and nature of his expertise in specific functional areas and names of companies in which he holds directorships and memberships / chairmanships of Board and Committees

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of Board, shareholding and relationships between directors inter-se as stipulated under Clause 49 of the Listing Agreement with the Stock Exchanges are provided in this Annual Report.

The draft letter for appointment of Mr. Vinod Kumar Sharma as Independent Director setting out the terms and conditions is available for inspection by members at the Registered Office of the Company without any fee during normal business hours on working days and the copy of the same shall also be made available at the venue of the Annual General Meeting. The same shall be issued to him on his appointment in the 29th Annual General Meeting of the Company.

None of the relatives of Mr. Vinod Kumar Sharma may be deemed to be interested in the resolution set out at item no. 4 of the Notice, to the extent of their shareholding interest, if any, in the Company.

Save and except Mr. Vinod Kumar Sharma, none of the other Directors and Key Managerial Personnel of the Company and their relatives are in any way, concerned or interested, financially or otherwise, in the concerned resolution.

The Board commends the Special Resolution set out at Item No. 4 of the Notice for approval by the shareholders.

ITEM NO. 5

As per the recommendation of the Nomination and Remuneration Committee of the Board of the Company, the Board of Directors of the company appointed Ms. Sonali Kejriwal as Additional Director in its meeting on 28th of March, 2015. She holds office upto the date of the 29th Annual General Meeting of the Company. The Board of Directors is of the view that the appointment of Ms. Sonali Kejriwal, would be beneficial for the success and growth of the Company. It is of the view that her new and young thinking would make the position of the company more strong and she would infuse new perspective in the Board which together with the perspective of existing board members will help company in achieving new heights. Thus, the Board of Directors, hereby recommends her appointment as director of the company in the 29th Annual General Meeting of the Company.

Ms. Sonali Kejriwal has given her consent to become the director of the company and has further confirmed that she is not disqualified to become director as per Companies Act, 2013. The Company has received a notice in writing from a shareholder alongwith a required deposit, signifying its intention to appoint Ms. Sonali Kejriwal as director of the Company.

None of the relatives of Ms. Sonali Kejriwal may be deemed to be interested in the resolution set out at item no. 5 of the Notice, to the extent of their shareholding interest, if any, in the Company.

Save and except Ms. Sonali Kejriwal, none of the other Directors and Key Managerial Personnel of the Company and their relatives are in any way, concerned or interested, financially or otherwise, in the concerned resolution.

The Board commends the Ordinary Resolution set out at Item No. 5 of the Notice for approval by the shareholders.

Date: 21/08/2015

Place: Kanpur

By Order of the Board

Arun Kejriwal
(Managing Director)

BRIEF RESUME OF DIRECTORS SEEKING APPOINTMENT/RE-APPOINTMENT IN ANNUAL GENERAL MEETING FOR THE FINANCIAL YEAR 2014-2015

Name of Director	ASHISH DIXIT	VINOD KUMAR SHARMA	SONALI KEJRIWAL
DIN	03402551	03399019	07137327
Category	Non-executive and Non-Independent Director	Non-executive and Independent Director	Non-executive and Non-Independent Director
Date of Birth	16/05/1980	29/05/1969	07/06/1989
Nationality	Indian	Indian	Indian
Date of Appointment on the Board	Initially appointed in 15th of December, 2010, then appointed as Independent Director in last year Annual General Meeting with effect from 30th of September, 2014	Initially appointed in 1st of January, 2011	Appointed on 28th of March, 2015 in the Board Meeting
Qualification	Commerce Graduate	Commerce Graduate	Bachelor of Technology
Expertise in specific	He has the capability of working under time bound projects and he is fond of having a deep study in functional areas the subject matter. He has very imaginative sphere of work, so his visionary power will enhance the growth and future development of the company. He has been a director of our company since 2010.	He has immense knowledge about security market, mutual fund and various other stock market areas. He has been a director of our company since 2011.	She is the youngest member who has joined the company as part of the Board of Directors in the year 2015. She has very strong command over mathematics and accounts, which would be helpful to the the Board of Directors while carrying out the detailed analysis of financial statements of the Company. Besides that the Company is hopeful that she will bring into the company new and young and innovative ideas to strengthen the position and goodwill of the company which coupled with the experienced board members will help company in reaching new heights.
Number of shares held in the company	NIL	Vinod Kumar Sharma is second holder of 1.000 total number of equity shares held by her wife	1,00,000 (total number of shares)
Number of Meetings of Board of Directors of the company	6	7	During the year, no Board meeting held after her appointment in the Company. attended during the year
List of Directorship held in other companies	NIL	NIL	NIL
Chairman/Member in committees of the Board of companies in other companies	NIL	NIL	NIL
Relationship between Directors inter-se and Key Managerial Personnel	Not related to any other director or Key Managerial Personnel of the company	Not related to any other director or Key Managerial Personnel of the company	She is the daughter of Mr. Arun Kejriwal, Managing Director of the company.

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ROUTE MAP FOR REACHING TO THE VENUE OF THE ANNUAL GENERAL MEETING OF THE COMPANY

From Kanpur Central Station or from Jhakarkati Bus Terminal, you need to take road for Sagar Market which is located in Karachi Khana, the Mall Road, General Ganj, Kanpur, Uttar Pradesh. The route for the same is shown in the map below. The venue of the Annual General Meeting i.e. 25/38, Karachi Khana, Kanpur-208001, Uttar Pradesh is located just nearby the sagar market.



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VIKALP SECURITIES LIMITED
 Registered Office : 25/38, Karachi Khana, Kanpur - 208001, Uttar Pradesh
 CIN : L65993UP1986PLC007727 , CONTACT NO. : 0512 - 2372665
 EMAIL ID : vikalpsecuritieslimited@gmail.com , WEBSITE : www.vikalpsecurities.com

Form No. MGT-11
Proxy form

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN : L65993UP1986PLC007727

Name of the Company : VIKALP SECURITIES LIMITED

Registered Office : 25/38, Karachi Khana, Kanpur, Uttar Pradesh - 208001

Name of the member (s) : Registered address : E-mail Id : Folio No/ Client Id : DP ID :

I/We, being the member (s) of shares of the above named company, hereby appoint

1. Name :
 Address :
 E-mail Id :
 Signature :, or failing him

2. Name :
 Address :
 E-mail Id :
 Signature :, or failing him

3. Name :
 Address :
 E-mail Id :
 Signature :

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 29th Annual General Meeting of the company, to be held on Wednesday, the 30th day of September, 2015 at 09:00 A.M at The Registered Office of the company at 25/38, Karachi Khana, Kanpur, Uttar Pradesh - 208001 and at any adjournment thereof in respect of such resolutions as are indicated below :

Resolution No.
 I wish my above proxy to vote in the manner as indicated in the box below :

Resolutions No.	Resolution	For	Against
	Ordinary Business		
1	To receive, consider and adopt the Audited Financial Statements of the Company for the year ended 31st March, 2015 including audited Balance Sheet as at 31st March, 2015 and the Statement of Profit and Loss Account for the year ended on that date together with the reports of the Board of Directors ("the Board") and the Auditors thereon.		
2	To appoint a Director in place of Mr. Ashish Dixit (DIN: 03402551), who retires by rotation at this Annual General Meeting and being eligible has offered himself for re-appointment.		
3	To appoint Statutory Auditors of the Company.		
	Special Business		
4	To appoint Mr. Vinod Kumar Sharma (DIN:03399019) as an Independent Director.		
5	To appoint Ms. Sonali Kejriwal (DIN:07137327) as Director		

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Signed this day of..... 2015

Signature of shareholder :

Signature of Proxy holder(s) :

Affix
Revenue
Stamp of
Rs. 1/-

Note:

1.This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.

2.A proxy need not be a member of the Company.

3.Appointing a proxy does not prevent a member from attending the meeting in person, if he so wishes.

4.For resolutions, explanatory statement and notes, please refer to the notice of the Annual General Meeting.

*5. This is only optional. Please put a ✓ in the appropriate column against the resolutions indicated in the Box. If you leave the 'For' or 'Against' column blank against any or all the resolutions, your Proxy will be entitled to vote in the manner as he/she thinks appropriate.

6. In case of joint holders, the signature of any one holder will be sufficient, but names of all the joint-holders should be stated

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Registered Office : 25/38, Karachi Khana, Kanpur - 208001, Uttar Pradesh

CIN : L65993UP1986PLC007727 , CONTACT NO. : 0512 - 2372665

EMAIL ID : vikalpsecuritieslimited@gmail.com,

WEBSITE : www.vikalpsecurities.com

PLEASE COMPLETE THIS ATTENDANCE SLIP AND HAND IT OVER AT THE ENTRANCE OF THE MEETING VENUE

ATTENDANCE SLIP

Folio No. :Number of Shares held :
*DP Id / Client Id :
Name of the Member :
Address of the Member
.....
.....
Authorised Representative
Name of the Proxy :

I hereby record my presence at the 29th Annual General Meeting of the Company being held on Wednesday, the 30th day of September, 2015 at 09:00 A.M at the Registered Office of the Company at 25/38, Karachi Khana, Kanpur, Uttar Pradesh - 208001.

Signature of Member/
Authorised Representative

Signature of Proxy

*Applicable if shares held in electronic form.